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In the United States Patent and Trademark Office

Appn. Number: 10/705,439

Appn. Filed: 11/10/2003

Applicants: Bruce Bradford Thomas and Lester Ware Preston, III

Title: Collateral Coverage for Insurers and Advisors

GAU: 3626

Trumbull, CT, October 3, 2005

4th Preliminary Amendment

Commissioner for Patents

PO Box 1450, Alexandria, VA 22313-1450

Dear Sir or Madame:

Please amend the above application as follows:

Specification:

Please cancel the specification of record and substitute the specification that follows:

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is related to Patent Application number 10/647078, filed on August 22, 2003 by the present inventors.

FEDERALLY SPONSORED RESEARCH Not Applicable

SEQUENCE LISTING OR PROGRAM Not Applicable

BACKGROUND OF THE INVENTION--FIELD OF INVENTION